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PTO/SB/05 (03-01)

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.	1857.0690000
First Inventor	Glenn M. Freidman
Title	Method and Apparatus for Transferring and Loading a Reticle with a Robotic Reticle End-Effector
Express Mail Label No.	n/a

**APPLICATION ELEMENTS**  
See MPEP chapter 600 concerning utility patent application contents.

**ADDRESS TO:**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages: 22]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets: 8]
5. ☒ Oath or Declaration [Total Pages: 4]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§ 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76.
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program  
(Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS


9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Two (2) return receipt postcards (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Authorization to Treat a Reply as Incorporating an Extension of Time under 37 C.F.R. § 1.136(a)(3) (in duplicate)
- ☒ Other: The name of the assignee is: ASML US, Inc.


18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP) of prior application No: \_\_\_\_/\_\_\_\_\_  
Prior application information: Examiner \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label Customer No. 26111	 26111 (Insert Customer No. or Attach bar code label here)	or <input type="checkbox"/> Correspondence address below	
NAME	STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.		
	Attorneys at Law		
ADDRESS			
CITY	STATE	ZIP CODE	
COUNTRY	TELEPHONE	FAX	

NAME (Print/Type)	Donald J. Featherstone	Registration No. (Attorney/Agent)	33,876
SIGNATURE		Date	1/9/02.

# FEE TRANSMITTAL

## for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT	(\$1176.00)	Application Number	(to be assigned)
		Filing Date	(herewith)
		First Named Inventor	FRIEDMAN, Glenn M.
		Examiner Name	(to be assigned)
		Group Art Unit	(to be assigned)
		Attorney Docket No.	1857.0690000

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																																																																																																																																																																																			
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:</p> <p>Deposit Account Number: 19-0036</p> <p>Deposit Account Name: Sterne, Kessler, Goldstein &amp; Fox P.L.L.C.</p> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:</p> <p><input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other*</p> <p>*Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.</p>		<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large</th> <th>Entity</th> <th>Small</th> <th>Entity</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td></td><td></td><td></td><td></td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td></td><td></td><td></td><td></td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td></td><td></td><td></td><td></td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td></td><td></td><td></td><td></td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td></td><td></td><td></td><td></td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td></td><td></td><td></td><td></td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td></td><td></td><td></td><td></td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td></td><td></td><td></td><td></td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460</td><td></td><td></td><td></td><td></td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td></td><td></td><td></td><td></td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td></td><td></td><td></td><td></td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160</td><td></td><td></td><td></td><td></td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td><td></td><td></td><td></td><td></td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td><td></td><td></td><td></td><td></td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td></td><td></td><td></td><td></td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td></td><td></td><td></td><td></td><td>Petition to revive - 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late filing fee or oath		127	50	227	25					Surcharge - late provisional filing fee or cover sheet		139	130	139	130					Non-English specification		147	2,520	147	2,520					For filing a request for <i>ex parte</i> reexamination		112	920*	112	920*					Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*					Requesting publication of SIR after Examiner action		115	110	215	55					Extension for reply within first month		116	400	216	200					Extension for reply within second month		117	920	217	460					Extension for reply within third month		118	1,440	218	720					Extension for reply within fourth month		128	1,960	228	980					Extension for reply within fifth month		119	320	219	160					Notice of Appeal		120	320	220	160					Filing a brief in support of an appeal		121	280	221	140					Request for oral hearing		138	1,510	138	1,510					Petition to institute a public use proceeding		140	110	240	55					Petition to revive - unavoidable		141	1,280	241	640					Petition to revive - unintentional		142	1,280	242	640					Utility issue fee (or reissue)		143	460	243	230					Design issue fee		144	620	244	310					Plant issue fee		122	130	122	130					Petitions to the Commissioner		123	130	123	130					Petitions related to provisional applications		126	180	126	180					Submission of Information Disclosure Stmt		581	40	481	40					Recording each patent assignment per property (times number of properties)	40.00	146	740	246	370					Filing a submission after final rejection (37 CFR 1.129(a))		149	740	249	370					For each additional invention to be examined (37 CFR 1.129(b))		179	740	279	370					Request for Continued Examination (RCE)		169	900	169	900					Request for expedited examination of a design application	
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<p><b>SUBMITTED BY</b></p> <p>Name (Print/Type): Donald J. Featherstone</p> <p>Signature: <i>Donald J. Featherstone</i></p>		<p><b>Complete (if applicable)</b></p> <p>Registration No. (Attorney/Agent): 33,876</p> <p>Telephone: 202-371-2600</p> <p>Date: 1/9/02</p>																																																																																																																																																																																																																																																																																																			

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01/09/02

**STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.**

ATTORNEYS AT LAW

1100 NEW YORK AVENUE, N.W. • WASHINGTON, D.C. 20005-3934

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01/09/02

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EDWARD J. KESSLER  
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\*LIMITED TO MATTERS  
AND PROCEEDINGS BEFORE  
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January 9, 2002

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Commissioner for Patents  
Washington, D.C. 20231

**Box Patent Application**

Re: U.S. Non-Provisional Utility Patent Application under 37 C.F.R. § 1.53(b)  
Appl. No. (to be assigned); Filed: (herewith)  
For: **Method and Apparatus for Transferring and  
Loading a Reticle with a Robotic Reticle End-Effector**  
Inventors: Friedman *et al.*  
Our Ref: 1857.0690000

Sir:

The following documents are forwarded herewith for appropriate action by the U.S.  
Patent and Trademark Office:

1. Fee Transmittal Form (PTO/SB/17);
2. Utility Patent Application Transmittal Form (PTO/SB/05);
3. U.S. Utility Patent Application entitled:

**Method and Apparatus for Transferring and  
Loading a Reticle with a Robotic Reticle End-Effector**

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Commissioner for Patents  
January 9, 2002  
Page 2

and naming as inventors:

Glenn M. FRIEDMAN  
Peter KOCHERSPERGER  
Joseph LAGANZA

the application consisting of:

- a. a specification containing:
- i. 14 pages of description prior to the claims;
  - ii. 7 pages of claims (42 claims); and
  - iii. a one (1) page abstract;
- b. 8 sheets of drawings (Figures 1-8); and
- c. an Application Data Sheet;
4. Two (2) separate originally executed Declarations for Patent Application;
  5. Authorization to Treat a Reply as Incorporating an Extension of Time under 37 C.F.R. § 1.136(a)(3) (*in duplicate*);
  6. Recordation Form Cover Sheet (PTO-1595);
  7. Two (2) separate originally executed Assignments to ASML US, Inc., recordation of which is hereby respectfully requested;
  8. Two (2) return postcards; and
  9. SKGF check no. **33771** in the amount of **\$1176.00** to cover:
    - \$740.00 fee for patent application filing;
    - \$396.00 fee for total claims in excess of twenty (20); and
    - \$ 40.00 fee for Assignment recordation.

It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible.

20060109 52E04001

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January 9, 2002

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The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Donald J. Featherstone  
Attorney for Applicants  
Registration No. 33,876

DJF/RDL:mlb  
Enclosures

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